# UPPER LIMITS ON THE MASSES OF 105 SUPERMASSIVE BLACK HOLES FROM *HUBBLE SPACE TELESCOPE*/SPACE TELESCOPE IMAGING SPECTROGRAPH ARCHIVAL DATA\*

A. Beifiori<sup>1</sup>, M. Sarzi<sup>2</sup>, E. M. Corsini<sup>1</sup>, E. Dalla Bontà<sup>1</sup>, A. Pizzella<sup>1</sup>, L. Coccato<sup>1,3</sup>, and F. Bertola<sup>1</sup>

<sup>1</sup> Dipartimento di Astronomia, Università di Padova, vicolo dell'Osservatorio 3, I-35122 Padova, Italy; alessandra.beifiori@unipd.it, enricomaria.corsini@unipd.it, elena.dalabonta@unipd.it, alessandro.pizzella@unipd.it, francesco.bertola@unipd.it

<sup>2</sup> Centre for Astrophysics Research, University of Hertfordshire, College Lane, Hatfield AL10 9AB, UK; m.sarzi@herts.ac.uk

<sup>3</sup> Max-Planck-Institut für extraterrestrische Physik, Giessenbachstrasse 1, D-85748 Garching bei München, Germany; lcoccato@mpe.mpg.de

Received 2008 July 21; accepted 2008 September 27; published 2009 February 20

## ABSTRACT

Based on the modeling of the central emission-line width measured over subarcsecond apertures with the *Hubble* Space Telescope, we present stringent upper bounds on the mass of the central supermassive black hole,  $M_{\bullet}$ , for a sample of 105 nearby galaxies (D < 100 Mpc) spanning a wide range of Hubble types (E–Sc) and values of the central stellar velocity dispersion,  $\sigma_c$  (58–419 km s<sup>-1</sup>). For the vast majority of the objects, the derived  $M_{\bullet}$  upper limits run parallel and above the well-known  $M_{\bullet}-\sigma_c$  relation independently of the galaxy distance, suggesting that our nebular line-width measurements trace rather well the nuclear gravitational potential. For values of  $\sigma_c$  between 90 and 220 km s<sup>-1</sup>, 68% of our upper limits falls immediately above the  $M_{\bullet}-\sigma_c$  relation without exceeding the expected  $M_{\bullet}$  values by more than a factor 4.1. No systematic trends or offsets are observed in this  $\sigma_c$  range as a function of the galaxy Hubble type or with respect to the presence of a bar. For 6 of our 12  $M_{\bullet}$  upper limits with  $\sigma_c < 90 \text{ km s}^{-1}$ , our line-width measurements are more sensitive to the stellar contribution to the gravitational potential, either due to the presence of a nuclear stellar cluster or because of a greater distance compared to the other galaxies at the low- $\sigma_c$  end of the  $M_{\bullet}-\sigma_c$  relation. Conversely, our  $M_{\bullet}$  upper bounds appear to lie closer to the expected  $M_{\bullet}$  in the most massive elliptical galaxies with values of  $\sigma_c$  above 220 km s<sup>-1</sup>. Such a flattening of the  $M_{\bullet}-\sigma_c$  relation at its high- $\sigma_c$  end would appear consistent with a coevolution of supermassive black holes and galaxies driven by dry mergers, although better and more consistent measurements for  $\sigma_c$  and K-band luminosity are needed for these kinds of objects before systematic effects can be ruled out.

Key words: black hole physics – galaxies: kinematics and dynamics – galaxies: structure

Online-only material: color figures

## 1. INTRODUCTION

Supermassive black holes (SMBHs) have now been discovered in the center of a sufficiently large number of nearby galaxies to probe possible links between the masses of SMBHs  $(M_{\bullet})$ and the global properties of their host galaxies. In fact, it has emerged that  $M_{\bullet}$  correlates with the luminosity (Kormendy & Richstone 1995; Marconi & Hunt 2003), mass (Magorrian et al. 1998; Häring & Rix 2004), stellar velocity dispersion (Ferrarese & Merritt 2000; Gebhardt et al. 2000; Tremaine et al. 2002; Ferrarese & Ford 2005), light concentration (Graham et al. 2001), and gravitational binding energy (Aller & Richstone 2007) of the host galaxy spheroidal component, i.e., the entire galaxy in the case of elliptical galaxies or the bulge of disk galaxies. In light of these findings, it is now widely accepted that the mass-accretion history of a SMBH is tightly related through feedback to the formation and evolution of the host spheroid (e.g., Silk & Rees 1998; Haehnelt & Kauffmann 2000; Di Matteo et al. 2005) with some studies having suggested a link with the mass of the dark-matter halo (Ferrarese 2002; Pizzella et al. 2005).

The slope and scatter of all these correlations remain quite uncertain (Novak et al. 2006), however, particularly since they are still based on a limited sample of galaxies with reliable  $M_{\bullet}$  that is biased toward early-type systems and that is clustered around a rather limited range of stellar velocity dispersion ( $\sigma$ ), approximately between 150 and 250 km s<sup>-1</sup>. Given the

great theoretical interest spurred by these findings, there is a pressing need to acquire better  $M_{\bullet}$  statistics, both in terms of the number of targets and in terms of broadening the range of parent galaxies, in particular toward spiral galaxies.

Secure  $M_{\bullet}$  measurements in external galaxies are traditionally obtained through the modeling of the stellar and/or gaseous kinematics, most often as derived using Hubble Space Telescope (HST) observations in the optical domain. The advent of adaptive-optics systems working at near-infrared wavelengths has led to more stellar-dynamical measurements of  $M_{\bullet}$  from the ground (Houghton et al. 2006; Nowak et al. 2007). Yet, such measurements are still quite expensive, not only because good-quality measurements of the stellar kinematics in the nearinfrared require relatively long observations, but also because proper modeling of the stellar kinematics in the immediate vicinity of SMBHs needs robust constraints on the importance of radial orbits and thus additional large-scale observations, possibly with integral-field spectroscopy (Valluri et al. 2004; Cappellari & McDermid 2005). Water masers have provided the most accurate extragalactic  $M_{\bullet}$  measurements to date, but such gaseous systems are exceedingly rare (Braatz et al. 1994; Greenhill et al. 2003). The modeling of the nuclear ionizedgas kinematics has also led to accurate  $M_{\bullet}$  measurements (e.g., Barth et al. 2001; Dalla Bontà et al. 2009), and at a relatively cheap cost in terms of observation time compared to stellar-dynamical  $M_{\bullet}$  determinations (e.g., Verolme et al. 2002; Gebhardt et al. 2003). Yet, only a handful of the objects targeted by HST turned out to have sufficiently regular gas velocity fields for the purpose of modeling (Sarzi et al. 2001). Thus, unless a large number of galaxies preselected to have regular

<sup>\*</sup> Based on observations with the NASA/ESA *Hubble Space Telescope* obtained at STScI, which is operated by the Association of Universities for Research in Astronomy, Incorporated, under NASA contract NAS5-26555.

nuclear gas kinematics (for instance, following Ho et al. 2002) is observed with *HST* if and when the Space Telescope Imaging Spectrograph (STIS) is successfully refurbished, it is unlikely that the number of galaxies with secure  $M_{\bullet}$  measurements will increase dramatically in the near future.

The HST Science Archive already contains an untapped resource that can be used to better constrain the black-hole mass budget across the different morphological types of galaxies, which consists of the vast number of the STIS spectra from which a central emission-line width can be measured. The modeling of this kind of data can indeed lead to tight upper limits on  $M_{\bullet}$ , as first shown by Sarzi et al. (2002). For this reason, we started a program aimed at deriving  $M_{\bullet}$  upper limits based on HST spectra for the largest possible number of galaxies and a wide range of morphological types. In this paper, we present the results based on a sample of 105 nearby galaxies for which STIS/G750M spectra in the H $\alpha$  region and measurements of the stellar velocity dispersion were available from the HST archive and in the literature, respectively. Although we will be able only to set an upper limit on the  $M_{\bullet}$  of our galaxies, the lack of exact measurements will be compensated for by the large number of upper limits when studying SMBH mass-host galaxy relationships.

The paper is organized as follows. In Section 2, we describe our sample selection and the measurement of central emissionline width, before briefly describing our modeling. We will then present our results and discuss our findings in the context of the  $M_{\bullet}$ - $\sigma$  relation between the SBHM mass and central stellar velocity dispersion of the host spheroid in Section 3.

## 2. DATA COMPILATION AND ANALYSIS

## 2.1. Sample Selection and Data Reduction

In order to assemble the largest possible sample of homogeneous measurements of the central emission-line width, we queried the HST Science Archive for objects with STIS spectra obtained with the G750M grating through either the  $0^{\prime\prime}$ .1 × 52<sup>''</sup> or the  $0''_{.2} \times 52''$  slit placed across the galaxy nucleus, most often at random position angles. This is indeed by far the most common configuration in the archive, which always gives us access to the [N II]  $\lambda\lambda$ 6548, 6583, H $\alpha$ , and [S II]  $\lambda\lambda$ 6716, 6731 emission lines. Limiting ourselves to galaxies within 100 Mpc to minimize the impact of the stellar potential on our results, we retrieved data for 177 galaxies spanning the whole range of morphological types. When available, galactic distances were adopted from measurements based either on surface-brightness fluctuations (Tonry et al. 2000, 2001), Cepheid variables (Freedman et al. 2001) or from Tully (1988). Otherwise, we used the weighted mean recessional velocity corrected to the reference frame defined by the microwave background radiation from de Vaucouleurs et al. (1991, RC3 hereafter) to derive the distance to our sample galaxies by assuming  $H_0 = 75 \text{km s}^{-1} \text{ Mpc}^{-1}$ ,  $\Omega_{\rm m}=0.3$ , and  $\Omega_{\Lambda}=0.7$ . The median distance of the sample galaxies is 21.4 Mpc.

The archival spectra were reduced using IRAF<sup>4</sup> and the STIS reduction pipeline maintained by the Space Telescope Science Institute (Dressel et al. 2007). The basic reduction steps included overscan subtraction, bias subtraction, dark subtraction, and flat-field correction. Different spectra obtained for the same slit

position were aligned using IMSHIFT and knowledge of the adopted shifts along the slit position. Cosmic ray events and hot pixels were removed using the task LACOS\_SPEC by van Dokkum (2001). Residual bad pixels were corrected by means of a linear one-dimensional interpolation using the data quality files and stacking individual spectra with IMCOMBINE. This allowed us to increase the signal-to-noise ratio of the resulting spectra. We performed wavelength and flux calibration as well as geometrical correction for two-dimensional distortion following the standard reduction pipeline and applying the X2D task. This task corrected the wavelength scale to the heliocentric frame as well.

To measure the nuclear emission-line width we generally extracted aperture spectra three (0%15) and five pixels wide (0''.25) centered on the continuum peak, for the 0''.1 and 0''.2 wide slit cases, respectively. When the spectra were obtained with a 2-pixel binning read-out mode along the spatial direction, we extracted aperture spectra three pixels wide (0''.3) for the 0''.2wide slit (Table 1). The extracted spectra thus consist of the central emission convolved with the STIS spatial point-spread function (PSF) and sampled over nearly square apertures of  $0^{\prime\prime}.15 \times 0^{\prime\prime}.1$ ,  $0^{\prime\prime}.25 \times 0^{\prime\prime}.2$  or  $0^{\prime\prime}.3 \times 0^{\prime\prime}.2$ , roughly corresponding to a circular aperture with a radius of  $0''_{.07}$ ,  $0''_{.13}$ , and  $0''_{.14}$ , respectively. The wavelength range of our spectra is either 6482-7054 Å or 6295-6867 Å, depending on whether the G750M grating was used at the primary or secondary tilt. The instrumental FWHM was 0.87 Å ( $\sigma_{inst} = 17 \text{ km s}^{-1}$ ) and 1.6 Å ( $\sigma_{inst} = 32 \text{ km s}^{-1}$ ) for the 0.1 and the 0.2 wide slit, respectively. The atlas of all the extracted spectra will be presented in a forthcoming paper.

To place our  $M_{\bullet}$  upper limits with the  $M_{\bullet}-\sigma$  relation, here we consider only galaxies with velocity dispersion measurements in the literature, which were available for 137 objects. We also dropped a further five objects, since upon closer inspection they revealed unrelaxed morphologies. For a number of objects with a sharp central surface-brightness profile, the two-dimensional rectification of the spectrum performed during the data reduction produced anomalous undulations in the flux level of continuum of the very central rows (see Kim Quijano et al. 2007, for details). This introduced also artificial fluctuations in the emission-line flux profiles across the nucleus. As constraining the concentration of the nebular emission is key to our modeling (see Section 2.3), this problem forced us to remove a further eight galaxies from our sample.

## 2.2. Measurement of the Emission Lines

In order to derive upper limits on  $M_{\bullet}$  following the method of Sarzi et al. (2002, see also Section 2.3) we need to measure both the width of the central nebular emission and the radial profile of the emission-line flux, so that we can gauge both the depth of the potential well and the concentration of its gaseous tracer. To side-step the impact of broad and/or asymmetric emission arising from regions much smaller than our resolution limit, we focus on the width of the narrow component of the emission from forbidden transitions and disregard the broad-line emission in our spectra. In the wavelength range of our spectra, this means measuring the central width and flux profile of the [N II]  $\lambda\lambda 6548$ , 6583 lines since these are usually brighter than the [S II]  $\lambda\lambda$ 6716, 6731 lines. The [N II] doublet also traces the nuclear kinematics better than  $H\alpha$ , given that this line could be significantly affected by emission from circumnuclear starforming regions (e.g., Verdoes Kleijn et al. 2000; Coccato et al. 2006).

<sup>&</sup>lt;sup>4</sup> IRAF is distributed by NOAO, which is operated by AURA Inc., under contract with the National Science Foundation.

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# Table 1 Properties of the Galaxies Sample

Galaxy	Morph. T.	Spec. Cl.	D	Ref.	$M^0_B$	re	Band	Ref.	$\sigma_{\rm c}$	Ref.	Prop.	Ape	rt.	σg	$M_{\bullet} (i = 33^{\circ})$	$M_{\bullet}~(i=81^{\circ})$	$M_{ullet}$ (low,high)	Ref.
(1)	( <b>2</b> )		(Mpc)	(5)	(mag)	('')	(0)	$\langle 0 \rangle$	$(\text{km s}^{-1})$	(11)	(12)	('')	(pc)	$(\text{km s}^{-1})$	$(M_{\odot})$	$(M_{\odot})$	$(M_{\odot})$	(10)
$\frac{(1)}{10.342}$	(2)	(3) L	(4)	(5)	(6)	(/)	(8) V	(9)	(10) 71 $\pm$ 26	(11)	(12)	(13)	(14)	(15)	(16)	(1/)	(18)	(19)
IC 3639	SABcu(IS)	п \$2*	4.0	2	-21.97 -20.70	34	K K	5	$71 \pm 20$ 99 + 5	17	0143	$0.13 \times 0.1$ 0.25 × 0.2	$5 \times 2$ $58 \times 46$	$00 \pm 4$ 96 + 3	3.4£0 2.5 <i>F</i> 7	1.4E0 4.8E6		
NGC 193	$SAB0^{-}(s)$ :		53.2	2	-20.22	3.5	K	5	$201 \pm 1$	19	8236	$0.20 \times 0.2$ $0.30 \times 0.2$	$77 \times 52$	$183 \pm 14$	5.6E8	1.3E8		
NGC 289	SBbc(rs)		18.3	2	-19.91	4.0	Κ	6	$118 \pm 12$	20	8228	$0.25 \times 0.2$	$22 \times 18$	$79 \pm 6$	5.0 <i>E</i> 7	1.3E7		
NGC 315	E+:	L1.9	61.8	2	-22.09	36.9	В	2	$340~\pm~29$	21	8236	0.15  imes 0.1	$45 \times 30$	$335\pm11$	1.8E9	4.3E8		
NGC 383	SA0 <sup>-</sup> :		63.4	2	-21.33	6.5	Κ	5	$259~\pm~18$	22	8236	0.15  imes 0.1	$46 \times 31$	$248~\pm~19$	1.3E9	2.9E8		
NGC 541	S0 <sup>-</sup> :		68.2	2	-21.19	35.8	R	7	$208 \pm 4$	23	8236	$0.30 \times 0.2$	99 × 66	$206 \pm 17$	9.2E8	1.9E8		
NGC 613	SBbc(rs)	H*	16.5	2	-20.56	4.2	H	8	$136 \pm 20$	24	8228	$0.25 \times 0.2$	$20 \times 16$	$151 \pm 9$	9.6E7	1.9 <i>E</i> 7		
NGC 741	EU:	 C1/C2*	70.4	2	-22.17	52.1	B	2	$252 \pm 12$	25	8236	$0.30 \times 0.2$	$102 \times 68$	$496 \pm 44$	1.2E9 5 4 E 9	2.1E8		
NGC 1052	SA0/a(s)	51/52* I 1 0	31.3 10.4	2	-20.73 -20.09	33.7	I R	2	$138 \pm 20$ $207 \pm 7$	20	7403	$0.23 \times 0.2$	$02 \times 30$ $23 \times 10$	$139 \pm 3$ $226 \pm 5$	3.4E8	1.4£8 0.4£7		
NGC 1358	SAB0/a(r)	S2	51.6	2	-20.09 -20.86	10.8	V	9	$186 \pm 20$	26	9143	$0.23 \times 0.2$ 0.25 × 0.2	$23 \times 19$ $62 \times 50$	$176 \pm 1$	5.3E8	1.2F8		
NGC 1497	S0		80.6	2	-21.24	19.5	ĸ	5	$249 \pm 1$	19	7354	$0.15 \times 0.1$	$59 \times 39$	$276 \pm 18$	7.1 <i>E</i> 8	3.0E8		
NGC 1667	SAB(r)c	S2	60.1	2	-21.49	0.3	V	9	$193\pm31$	17	9143	$0.25 \times 0.2$	$73 \times 58$	$97 \pm 1$	2.9E8	1.0E8		
NGC 1961	SABc(rs)	L2	52.1	2	-22.58	139.1	V	10	$241~\pm~41$	24	9106	$0.30\times0.2$	$76 \times 50$	$167~\pm~4$	4.6E8	9.4E7		
NGC 2110	SAB0 <sup></sup>	S2*	31.2	2	-20.62	14.9	V	9	$219~\pm~25$	26	8610	$0.25\times0.2$	$38 \times 30$	$242~\pm~4$	6.2E8	3.2E7		
NGC 2179	SA0/a(s)		38.4	2	-20.09	8.9	V	10	$168 \pm 12$	28	9068	$0.25 \times 0.2$	46 × 37	137 ± 8	3.8 <i>E</i> 8	1.3E8		
NGC 2273	SBa(r):	S2	25.0	2	-19.97	2.6	K	14	$127 \pm 11$	26	9143	$0.25 \times 0.2$	$30 \times 24$	$27 \pm 1$	7.1 <i>E</i> 6	2.1 <i>E</i> 6		
NGC 2329	SU:	···	12.4	2	-21.36	20.3	B	15	$236 \pm 14$	29	8236	$0.30 \times 0.2$	$113 \times 75$	$201 \pm 8$	2.5E8	1.1E8		
NGC 2085	(K)SB0 <sup>°</sup> pec	52/12: H	10.0	2	-18.81	15.2	V	10	$89 \pm 8$ 78 $\pm 5$	30 20	8007	$0.30 \times 0.2$ 0.25 × 0.2	$19 \times 13$ $24 \times 10$	$69 \pm 4$ $43 \pm 4$	1.1E/ 1.6E7	1.0E0 1.6E7	4 4E7(0 8 7 9)	57
NGC 2746	SB0(r) <sup>+</sup>	L19	75	3	-17.90	173	 V	10	$100 \pm 5$	20	7361	$0.25 \times 0.2$	$9 \times 7$	$43 \pm 4$ 209 + 6	1.0 <i>L</i> 7 8.2 <i>F</i> 7	1.0E7 1.7E7	9.0F7(2.1.15.8)	58
NGC 2903	SABbc(rs)	Н	11.2	2	-21.14	5.7	v	10	$102 \pm 13$	31	8228	$0.20 \times 0.2$ $0.30 \times 0.2$	$16 \times 11$	74 + 4	2.4E7	6.4 <i>E</i> 6	).0L/(2.1,15.0)	50
NGC 2911	SA0(s): pec	L2	46.6	2	-21.09	7.0	K	14	$238 \pm 17$	32	7354	$0.15 \times 0.1$	$34 \times 23$	$400 \pm 38$	2.6E9	6.6 <i>E</i> 8		
NGC 2964	SABbc(r):	Н	21.1	2	-20.03	0.8	V	10	$106~\pm~21$	31	8228	$0.25 \times 0.2$	$26 \times 20$	$80~\pm~4$	2.4E7	1.4E6		
NGC 3021	SAbc(rs)		23.9	2	-19.37	2.9	Κ	16	$61~\pm~27$	33	8228	$0.25\times0.2$	$29 \times 23$	$69~\pm~4$	4.0E7	9.8E6		
NGC 3031	SABab(s)	S1.5	3.9	3	-20.57	66.2	V	10	$152~\pm~4$	34	7351	0.15  imes 0.1	$3 \times 2$	$192~\pm~1$	4.1E7	8.4E6	9.0E7(2.1,15.8)	59
NGC 3078	E2-3		35.2	3	-20.79	22.8	В	2	$226 \pm 13$	35	9163	$0.30 \times 0.2$	$51 \times 34$	$192 \pm 6$	2.2 <i>E</i> 8	3.3 <i>E</i> 7		
NGC 3081	(R)SAB0/a(r)	S2*	35.9	2	-20.19	4.2	K	9	$134 \pm 8$	18	9143	$0.25 \times 0.2$	$43 \times 35$	$52 \pm 1$	3.4 <i>E</i> 7	8.5 <i>E</i> 6	1 557(1 0 2 0)	60
NGC 3227	SABa(s) pec $SAO^0(r)$ .2	S1.5 T2:	19.0 20.0	2	-20.28 -10.53	1.5	V	10	$138 \pm 14$ $205 \pm 18$	20	7403	$0.25 \times 0.2$	$24 \times 19$ $25 \times 20$	$11/\pm 3$ $138\pm 8$	0.3E/ 2.5E8	1.2E/ 4.8E7	1.5E/(1.0,2.0) 2.1E8(1.6.2.6)	61
NGC 3310	SABbc(r) pec	12. H	15.6	2	-20.01	5.4	V	10	$203 \pm 10$ $113 \pm 29$	17	8228	$0.23 \times 0.2$ $0.30 \times 0.2$	$23 \times 20$ $23 \times 15$	$130 \pm 3$ $46 \pm 1$	2.5£8 1.5£7	4.8 <i>E</i> /	<4 7E7	62
NGC 3351	SBb(r)	Н	10.0	4	-19.74	13.1	v	10	$104 \pm 16$	37	7361	$0.25 \times 0.2$	$12 \times 10$	$45 \pm 2$	6.4 <i>E</i> 6	1.9E6	\$ 11727	
NGC 3368	SABab(rs)	L2	10.4	3	-20.28	56.4	V	10	$114~\pm~4$	34	7361	$0.25 \times 0.2$	$13 \times 10$	$97~\pm~4$	4.8E7	1.5E7		
NGC 3393	(R')SBa(rs):	S2*	54.2	2	-21.03	8.7	Κ	5	$182~\pm~28$	17	8055	$0.25\times0.2$	$66 \times 52$	$91 \pm 3$	2.5E8	9.4E7		
NGC 3627	SABb(s)	T2/S2	10.1	4	-20.88	51.9	V	10	$108 \pm 8$	31	8607	$0.30 \times 0.2$	$15 \times 10$	$79 \pm 2$	1.5E7	6.8E6		
NGC 3642	SAbc(r):	L1.9	23.2	2	-20.37	28.4	V	10	$104 \pm 27$	17	8228	$0.25 \times 0.2$	$28 \times 22$	$147 \pm 11$	3.1 <i>E</i> 7	2.6 <i>E</i> 7		
NGC 36/5	SAD(S)	12	13.3	2	-20.10	13.4	H V	8	$118 \pm 5$ 228 $\pm 10$	31	8007	$0.30 \times 0.2$	$19 \times 13$ $72 \times 48$	$80 \pm 4$ 166 $\pm 10$	3.9E / 1 2 E 8	1.0E / 1.0E8		
NGC 3862	50? E		49.0 90.6	2	-20.09	17.7	R	2	$220 \pm 19$ $240 \pm 15$	38	8236	$0.30 \times 0.2$ 0.30 × 0.2	$12 \times 40$ $132 \times 88$	$100 \pm 10$ $188 \pm 7$	4.2E8	1.02.8		
NGC 3953	SBbc(r)	T2	16.5	2	-20.71	32.0	V	10	$140 \pm 10$	20	8228	$0.30 \times 0.2$ $0.30 \times 0.2$	$24 \times 16$	$100 \pm 7$ $103 \pm 6$	4.5 <i>E</i> 7	1.2E0 1.2E7		
NGC 3982	SABb(r):	S1.9	17.0	2	-19.47				$78 \pm 2$	39	7361	$0.25 \times 0.2$	$21 \times 16$	49 ± 2	1.7E7	5.2 <i>E</i> 6		
NGC 3992	SBbc(rs)	T2:	16.4	2	-20.81	64.4	V	10	$131~\pm~19$	39	7361	$0.25\times0.2$	$20\times 16$	$103~\pm~3$	6.7E7	1.8E7		
NGC 3998	SA0 <sup>0</sup> (r)?	L1.9	14.1	3	-19.26	17.6	V	10	$302~\pm~8$	40	7354	0.15  imes 0.1	$10 \times 7$	$426~\pm~11$	3.5E8	5.0E7	2.7E8(0.3,4.7)	63
NGC 4036	S0-	L1.9	20.4	2	-20.06	19.9	V	10	$167 \pm 5$	40	7403	$0.25 \times 0.2$	$25 \times 20$	$205 \pm 5$	1.9 <i>E</i> 8	3.6 <i>E</i> 7		
NGC 4041	SAbc(rs):	Н	18.2	2	-19.54	9.1	K	5	$95 \pm 5$	20	8228	$0.25 \times 0.2$	$22 \times 18$	$36 \pm 2$	4.4E6	1.0E6	<2.0E7	64
NGC 4088	SABDC(FS)	н 110	12.7	2	-20.00	2.4	V	10	$93 \pm 4$ $230 \pm 6$	20	8228 7361	$0.25 \times 0.2$	$15 \times 12$ $10 \times 15$	$50 \pm 5$ $211 \pm 3$	1.3E / 2.0E8	5.4E0 4.0E7		
NGC 4145	$SA0^{0}(r)?$	T2	13.7	3	-18.29	0.9	v	10	$230 \pm 0$ 97 + 3	26	8607	$0.23 \times 0.2$ $0.30 \times 0.2$	$10 \times 13$ 20 × 13	$69 \pm 9$	2.6E6	3.4E5		
NGC 4203	SAB0 <sup>-</sup> :	L1.9	15.1	3	-19.29	14.7	V	10	$166 \pm 3$	27	7361	$0.25 \times 0.2$	$18 \times 15$	$139 \pm 3$	1.3E8	3.9 <i>E</i> 7	<5.2E7	58
NGC 4212	SAc:	Н	3.4	2	-16.28	22.4	V	10	$74~\pm~3$	20	8228	$0.25 \times 0.2$	$4 \times 3$	$59 \pm 5$	2.8E6	4.0E5		
NGC 4245	SB0/a(r):	Н	15.6	2	-18.96	5.7	V	10	$89~\pm~10$	42	7361	$0.25\times0.2$	$19 \times 15$	$117~\pm~24$	5.0E7	5.6E6		
NGC 4258	SABbc(s)	S1.9	7.3	3	-20.78	332.4	V	10	99 ± 9	31	8228	$0.25 \times 0.2$	$9 \times 7$	$175 \pm 3$	4.8E7	9.1 <i>E</i> 6	3.9E7(3.6,4.2)	65
NGC 4261	E2-3	L2	31.6	3	-21.14	36.1	B	2	$288 \pm 14$	38	8236	$0.15 \times 0.1$	$23 \times 15$	$188 \pm 8$	3.8E8	9.3 <i>E</i> 7	5.4E8(4.2,6.6)	67
NGC 4278	E1-2	L1.9	16.1	3	-20.06	34.4	B	2	$251 \pm 8$	27	7403	$0.25 \times 0.2$	$19 \times 16$	$333 \pm 8$	1.8E8	5.2E7		
NGC 4314	SBa(rs)	L2 T2	15.0	2	-19.93	21.0	V	10	$115 \pm 4$ $00 \pm 4$	27	7361	$0.25 \times 0.2$	$20 \times 16$ 18 × 15	$50 \pm 5$ $65 \pm 1$	1./E/ 7.4E6	4.4E0 2.4E6		
NGC 4321	E	12	63.3	2	-20.93 -20.67	15.0	ĸ	2	$90 \pm 4$ $289 \pm 6$	43	8236	$0.23 \times 0.2$ $0.30 \times 0.2$	$10 \times 13$ 92 × 61	$171 \pm 8$	7.4£0 5.5E8	1 3E8	<6.0E8	43
NGC 4374	E1	L2	18.4	3	-21.31	50.9	В	2	$291 \pm 7$	27	7124	$0.25 \times 0.2$	$22 \times 18$	$396 \pm 14$	1.4 <i>E</i> 9	4.7 <i>E</i> 8	1.5E9(0.7, 1.8)	66
NGC 4429	SA0 <sup>+</sup> (r)	T2	19.5	2	-20.48	28.0	V	10	$185~\pm~8$	29	8607	$0.30 \times 0.2$	$28 \times 19$	$134~\pm~5$	1.7E8	3.4 <i>E</i> 7		
NGC 4435	SB0 <sup>0</sup> (s)	T2/H:	14.8	2	-19.23	24.0	V	10	$149~\pm~7$	29	7361	$0.25\times0.2$	$18 \times 14$	$98~\pm~4$	4.8E7	1.6E7	<7.5E6	68
NGC 4450	SAab(s)	L1.9	30.3	2	-21.66	10.8	V	10	$120~\pm~16$	44	7361	$0.25\times0.2$	$37 \times 29$	$138~\pm~3$	2.4E8	6.5E7		
NGC 4459	SA0 <sup>+</sup> (r)	T2:	16.1	3	-19.83	16.7	V	10	179 ± 13	23	7361	$0.25 \times 0.2$	19 × 16	216 ± 9	2.4 <i>E</i> 8	3.0 <i>E</i> 7	7.0E7(5.7,8.3)	58
NGC 4477	SB0(s):?	S2	22.3	2	-20.44	24.4	V	10	$154 \pm 9$	45	7361	$0.25 \times 0.2$	$27 \times 22$	$110 \pm 2$	7.6 <i>E</i> 7	1.9 <i>E</i> 7	2 950/2 9 4 9	-
NGC 4486	E U-I pec	L2 \$2	13.9	3	-21.51 -22.94	94.9	B V	2	$549 \pm 8$ 152 $\pm 17$	25	8000 7361	$0.25 \times 0.2$	$19 \times 15$ $42 \sim 22$	$0.50 \pm 14$ $0.0 \pm 1$	2.9E9 8 0 F7	8.8£8 1.5 <i>F7</i>	3.8E9(2.8,4.8)	09
NGC 4507	(R')SABh(re)	52 \$2*	50.4	2	-22.04	1 9	v K	5	$152 \pm 17$ $156 \pm 7$	18	9143	$0.25 \times 0.2$ $0.25 \times 0.2$	$\frac{12}{61} \times \frac{33}{40}$	$59 \pm 1$ 54 + 3	3.6E7	7 3E6		
NGC 4526	SAB0(s):	H	16.9	3	-20.61	19.7	V	10	$208 \pm 3$	46	9163	$0.25 \times 0.2$	$20 \times 16$	$320 \pm 11$	3.2E8	6.1 <i>E</i> 7		
NGC 4548	SBb(rs)	L2	19.2	3	-20.63	35.2	V	10	$153~\pm~14$	44	7361	$0.25 \times 0.2$	23 × 19	$77~\pm~2$	3.8 <i>E</i> 7	9.6 <i>E</i> 6		

# UPPER LIMITS ON THE MASSES OF 105 BLACK HOLES

### Table 1 (Continued)

Galaxy	Morph. T.	Spec. Cl.	D	Ref.	$M^0{}_B$	re	Band	Ref.	$\sigma_{\rm c}$	Ref.	Prop.	Ape	ert.	$\sigma_{g}$	$M_{\bullet}$ $(i = 33^{\circ})$	$M_{\bullet} (i = 81^{\circ})$	$M_{\bullet}$ (low,high)	Ref.
			(Mpc)		(mag)	('')			$({\rm km}~{\rm s}^{-1})$			(' ')	(pc)	$({\rm km}~{\rm s}^{-1})$	$(M_{\odot})$	$(M_{\odot})$	$(M_{\odot})$	
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)	(17)	(18)	(19)
NGC 4552	E0-1	T2:	15.4	3	-20.36	29.3	В	2	$254~\pm~11$	29	8472	$0.25\times0.2$	$19 \times 15$	$476~\pm~18$	1.9E9	6.9E8		
NGC 4579	SABb(rs)	S1.9/L1.9	24.6	2	-21.66	17.0	V	10	$123~\pm~16$	31	7403	$0.25\times0.2$	$30 \times 24$	$231~\pm~2$	2.3E8	4.3E7		
NGC 4594	SAa(s) sp	L2	9.8	3	-21.57	50.9	V	10	$226\pm6$	38	7354	$0.15\times0.1$	$7 \times 5$	$489~\pm~20$	8.6E8	2.4E8	1.0E9(0.3,1.7)	70
NGC 4596	SB0+(r)	L2::	29.3	2	-20.83	17.4	V	10	$148 \pm 14$	47	7361	$0.25\times0.2$	$35 \times 28$	$162 \pm 11$	2.0E8	4.0E7	8.0E7(4.0,12.0)	58
NGC 4636	E0-1	L1.9	14.7	3	-20.40	88.5	В	2	$178~\pm~9$	48	8472	$0.25\times0.2$	$18 \times 14$	$254~\pm~13$	6.8E8	2.5E8		
NGC 4698	SAab(s)	S2	17.6	2	-19.99	29.4	V	10	$129\pm9$	28	7361	$0.25\times0.2$	$21 \times 17$	$92 \pm 2$	8.9 <i>E</i> 7	4.3E7		
NGC 4736	(R)SAab(r)	L2	5.2	3	-19.83	45.2	V	10	$106~\pm~3$	27	8591	$0.15\times0.1$	$4 \times 2$	$90 \pm 5$	1.4E7	3.3E6		
NGC 4800	SAb(rs)	Н	13.4	2	-18.51	15.4	R	11	$111\pm2$	39	7361	$0.25\times0.2$	$16 \times 13$	$95 \pm 10$	3.9 <i>E</i> 7	3.3E6		
NGC 4826	(R)SAab(rs)	T2	7.5	3	-20.55	5.0	V	10	$115 \pm 13$	31	8607	$0.30\times0.2$	$11 \times 7$	$99 \pm 4$	4.2E7	1.8E7		
NGC 5005	SABbc(rs)	L1.9	15.7	2	-20.79	5.8	V	10	$215~\pm~8$	20	8228	$0.25 \times 0.2$	$19 \times 15$	$204~\pm~3$	3.4E8	1.2E8		
NGC 5077	E3-4	L1.9	41.9	2	-20.90	22.8	В	2	$239\pm11$	35	7354	$0.15\times0.1$	$30 \times 20$	$397~\pm~13$	2.0E9	4.4E8	6.8E8(4.0,11.6)	71
NGC 5127	E pec		67.0	2	-21.32	48.1	Κ	5	$194~\pm~5$	49	8236	$0.30\times0.2$	$97 \times 65$	$153~\pm~7$	5.1E8	7.7E7		
NGC 5194	SAbc(s) pec	S2	8.5	2	-20.99	47.7	V	10	$76 \pm 10$	26	9147	$0.25\times0.2$	$10 \times 8$	$36~\pm~2$	2.3E6	4.3E5		
NGC 5248	SABbc(rs)	Н	19.2	2	-20.78	0.6	R	16	$128 \pm 12$	50	8228	$0.25\times0.2$	$23 \times 19$	$45~\pm~4$	5.1E6	9.0E5		
NGC 5252	SO	S1.9*	95.7	2	-20.97	20.7	J	12	$183 \pm 26$	26	8055	$0.25\times0.2$	$116 \times 93$	$197~\pm~6$	7.0E8	1.3E8		
NGC 5283	S0?	S2*	37.0	2	-18.72	8.7	Κ	5	$148 \pm 14$	26	9143	$0.25\times0.2$	$45 \times 36$	$61 \pm 3$	5.9E7	1.3E7		
NGC 5347	(R')SBab(rs)	S2*	34.6	2	-19.59	20.5	V	10	$70 \pm 13$	26	9143	$0.25\times0.2$	$42 \times 33$	$53~\pm~3$	4.6E7	6.5E6		
NGC 5427	SAc(s)pec	S2*	38.9	2	-21.22	29.7	V	10	$70 \pm 12$	26	9143	$0.25\times0.2$	$47 \times 38$	$78~\pm~1$	8.1 <i>E</i> 7	2.0E7		
NGC 5490	E		70.0	2	-21.34	18.5	В	2	$292 \pm 28$	29	8236	$0.30\times0.2$	$102 \times 68$	$278~\pm~21$	1.3E9	2.6E8		
NGC 5643	SABc(rs)	S2*	18.6	2	-21.11	2.6	H	8	$97 \pm 1$	51	9143	$0.25\times0.2$	$22 \times 18$	$95 \pm 3$	4.7E7	3.1 <i>E</i> 6		
NGC 5695	S?	S2*	58.5	2	-20.46	7.1	Κ	5	$148~\pm~2$	26	9143	$0.25\times0.2$	$71 \times 57$	$67 \pm 2$	2.2E8	5.1E7		
NGC 5728	SABa(r):	S2*	40.3	2	-21.37	56.0	V	10	$210 \pm 44$	52	8123	$0.15\times0.1$	$29 \times 19$	$121~\pm~7$	2.4E8	6.2E7		
NGC 5879	SAbc(rs):?	T2/L2	11.4	2	-18.88	3.0	В	13	$59 \pm 9$	13	8607	$0.30\times0.2$	$17 \times 11$	$73~\pm~4$	8.5E6	2.4E6		
NGC 6300	SBb(rs)	S2*	15.2	2	-20.71	6.0	Κ	6	$94 \pm 5$	18	9143	$0.25\times0.2$	$18 \times 15$	$75~\pm~5$	2.4E7	9.4E6		
NGC 6500	SAab:	L2	39.1	2	-20.50	2.1	Κ	5	$230\pm6$	27	7354	$0.15\times0.1$	$28 \times 19$	$168 \pm 19$	4.3E8	1.3E8		
NGC 6861	SA0 <sup>-</sup> (s):		28.1	3	-20.32	14.9	Κ	5	$419 \pm 15$	53	9163	$0.30 \times 0.2$	$41 \times 27$	$623 \pm 61$	1.5E9	3.6E8		
NGC 6951	SABbc(rs)	S2	17.1	2	-20.45	5.4	V	10	$104 \pm 11$	24	8228	$0.25\times0.2$	$21 \times 17$	$72 \pm 1$	1.4E7	5.9E6		
NGC 7052	E		61.7	2	-21.26	34.7	J	12	$239 \pm 23$	54	8236	$0.15\times0.1$	$45 \times 30$	$235~\pm~14$	1.2E9	3.1 <i>E</i> 8	3.7E8(2.2,6.3)	72
NGC 7331	SAb(s)	T2	13.1	3	-21.21	48.6	V	10	$126\pm4$	34	8228	$0.25\times0.2$	$16 \times 13$	$204 \pm 26$	1.7E8	7.4E7		
NGC 7626	E pec:	L2::	40.8	2	-20.99	38.7	В	2	$253~\pm~11$	38	8236	$0.30\times0.2$	$59 \times 40$	$279~\pm~16$	8.7E8	1.9E8		
NGC 7682	SBab(r)	S2*	63.5	2	-20.34	9.4	Κ	5	$122 \pm 17$	26	9143	$0.25\times0.2$	$77 \times 62$	$112~\pm~3$	4.8E8	1.9E8		
UGC 1214	(R)SAB0 <sup>+</sup> (rs):	S2*	64.2	2	-20.47	37.0	Ι	9	$116 \pm 15$	26	9143	$0.25\times0.2$	$78 \times 62$	$76 \pm 1$	8.9 <i>E</i> 7	3.9 <i>E</i> 7		
UGC 1395	SAb(rs)	S1.9*	65.2	2	-20.21	2.4	Κ	5	$70 \pm 6$	18	9143	$0.25 \times 0.2$	$79 \times 63$	$24~\pm~3$	1.4E7	3.8E6		
UGC 1841	E		80.3	2	-21.28				$348~\pm~29$	55	8236	$0.30\times0.2$	$117 \times 78$	$330\pm26$	4.9E8	2.0E8		
UGC 7115	E		94.5	2	-20.70	10.9	В	2	$205~\pm~38$	55	8236	$0.30\times0.2$	$137 \times 92$	$318~\pm~14$	3.0E9	3.9 <i>E</i> 8		
UGC 12064	S0 <sup>-</sup> :		64.4	2	-20.19	2.7	Κ	5	$281~\pm~19$	55	8236	$0.15\times0.1$	$47 \times 31$	$426~\pm~21$	2.5E9	2.3E8		

#### Notes.

Column (1): galaxy name. Column (2): morphological type from RC3. Column (3): nuclear spectral class from Ho et al. (1997a, 1997b), where H = H II nucleus, L = LINER, S = Seyfert, T = transiton object (LINER/HII), 1 = type 1, 2 = type 2, and a fractional number between 1 and 2 denotes various intermediate types; uncertain and highly uncertain classifications are followed by a single and double colon, respectively. The nuclear spectral class of galaxies marked with \* is from NASA/IPAC Extragalactic Database (NED). Column (4): distance. Column (5): reference for column (4). All the distances were taken from literature (see attached list), except those we obtained from V<sub>3K</sub>, the weighted mean recessional velocity corrected to the reference frame of the microwave background radiation given in RC3. These were derived as  $V_{3K}/H_0$  with  $H_0 = 75$  km s<sup>-1</sup> Mpc<sup>-1</sup>. Column (6): absolute corrected *B* magnitude derived from  $B^0_T$  (RC3) with the adopted distance. Column (7): effective radius of the spheroidal component. Column (8): band in which the effective radius were measured. Column (9): reference for column (7). All the effective radii were taken from literature (see attached list), except for those we measured by a photometric decomposition of the K-band images available in the 2MASS science archive Skrutskie et al. (2006). Col. (10): Central velocity dispersion of the stellar component within r<sub>e</sub>/8. Col. (11): Reference for the measured stellar velocity dispersion and corresponding size of the central aperture from which we calculated the value given in column (10) by following Jørgensen et al. (1995). We did not apply any aperture correction to the measured stellar velocity dispersions of NGC 2748, NGC 3982, and UGC 1841, because no information about the size of the aperture was available. Column (12): HST proposal number under which was obtained the STIS/G750M spectrum from which we measured the central velocity dispersion of the ionized gas. Column (13): zize of the central aperture where we measured the velocity dispersion of the ionized gas. Column (14): physical size of the central aperture where we measured the velocity dispersion of the ionized gas. Column (15): central velocity dispersion of the ionized-gas component within the aperture in column (13). This is the intrinsic velocity dispersion obtained from the observed one by subtracting the instrumental velocity dispersion Column (16):  $M_{\bullet}$  upper limit for a Keplerian disk model assuming  $i = 33^{\circ}$ . Col. (17):  $M_{\bullet}$  upper limit for  $i = 81^{\circ}$ . Column (18): mass (and confidence interval) of the SMBH derived from modeling based on the resolved kinematics. The M. of NGC 3227 and NGC 4258 were obtained by studying the dynamics of stars and water masers, respectively. The ionized-gas dynamics was used for all the remaining galaxies. Col. (19): Reference for column (18).

## References.

(1) Tully 1988, (2) de Vaucouleurs et al. 1991, (3) Tonry et al. 2001, (4) Freedman et al. 2001, (5) Skrutskie et al. 2006, (6) Kassin et al. 2006, (7) de Souza et al. 2004, (8) Laurikainen et al. 2004, (9) Xanthopoulos 1996, (10) Baggett et al. 1998, (11) Andredakis & Sanders 1994, (12) Marconi & Hunt 2003, (13) Falcón-Barroso et al. 2002, (14) Laurikainen et al. 2005, (15) Fisher et al. 1995, (16) Scarlata et al. 2004, (17) Terlevich et al. 1990, (18) Garcia-Rissmann et al. 2005, (19) Wegner et al. 2003, (20) Batcheldor et al. 2005, (21) Davies et al. 1987, (22) Simien & Prugniel 1997a, (23) Bernardi et al. 2002, (24) Schechter 1983, (25) Bonfanti et al. 1995, (26) Nelson & Whittle 1995, (27) Barth et al. 2002, (28) Corsini et al. 1999, (29) Simien & Prugniel (1997c, (31) Héraudeau & Simien 1998, (32) di Nella et al. 1995, (33) Héraudeau et al. 1999, (34) Vega Beltrán et al. 2001, (35) Carollo et al. 1993, (36) Simien & Prugniel 1998, (37) Whitmore et al. 1979, (38) Smith et al. 2000, (39) Sarzi et al. 2002, (40) Fisher 1997, (41) Simien & Prugniel 2002, (42) Falcón-Barroso et al. 2006, (43) Verdoes Kleijn et al. 2007, (51) Gu et al. 2006, (52) Wagner & Appenzeller 1988, (53) Koprolin & Zeilinger, 2000, (54) van den Bosch & van der Marel 1995, (55) Balcells et al. 2007, (50) Dumas et al. 2007, (51) Gu et al. 2005, (58) Sarzi et al. 2001, (59) Deverux et al. 2003, (60) Davies et al. 2006, (61) Barth et al. 2001, (62) Pastorini et al. 2007, (63) de Francesco et al. 2006, (64) Marconi et al. 2003, (65) Miyoshi et al. 1995, (66) Bower et al. 1998, (67) Ferrarese et al. 1996, (68) Coccato et al. 2006, (69) Macchetto et al. 1997, (70) Kormendy 1988, (71) de Francesco et al. 2008, (72) van der Marel & van den Bosch 1998.

To measure the central width and flux profile of the narrow component of the [N II] lines, we fit our spectra with multiple Gaussians to match both the broad and narrow components

of all the observed lines, while describing the stellar continuum with a low-order polynomial. A flux ratio of 1:3 was assumed for the [N II] doublet, as dictated by atomic physics (e.g.,



**Figure 1.** Few examples of continuum-subtracted central G750M spectra from our spectral atlas illustrating the various fitting strategies adopted to match H $\alpha$ , [N II]  $\lambda\lambda$ 6548, 6583 and [S II]  $\lambda\lambda$ 6716, 6731 emission lines. In each panel, the red solid line show the overall line blend, whereas the green dashed-dotted lines and blue dotted or dashed lines show the adopted narrow and broad Gaussian components, respectively. Shown are also the fit residuals, offset for better visibility. For NGC 4548, the nebular emission could be matched with single Gaussian profiles. For NGC 1961, we needed to add a broad H $\alpha$  component whereas for NGC 2273 an additional broad and blue-shifted component was needed to match the profile of all lines. For NGC 4450, we added two extremely broad Gaussian shoulders to offset from the center by several thousand kilometers per second, in addition to a more typical broad H $\alpha$ component (see also Ho et al. 2000, for a match to the double-peaked profile of this LINER 1.9 nucleus).

Osterbrock 1989), and in the presence also of [S II] emission, both the [N II] and [S II] doublets were assumed to share a common line centroid and width. In most cases, only one additional Gaussian component was needed in our fits, to describe the H $\alpha$  emission from the broad-line region, although in many objects also the forbidden [N II] and [S II] lines required double-Gaussian profiles. This allowed us to describe also lines with Voigt profiles, where tests on 18 galaxies showed that our narrowest Gaussian component tends to be only slightly broader than the thermal component in the Voigt profiles, generally by less than 20%. The extra Gaussian in the [N II] and [S II] lines was also used to isolate the contribution of blue- or redshifted wings. To help deblend the H $\alpha$ +[N II] region in some cases, we followed Ho et al. (1997a, 1997b) and assigned to both the [N II] lines and the narrow H $\alpha$  emission the line profile that was predetermined by fitting the [S II] lines. The best-fitting Gaussian parameters were derived using a nonlinear least-squares minimization based on the robust Levenberg-Marquardt method (e.g., Press et al. 1996) implemented by Moré et al. (1980). The actual computation was done using the MPFIT algorithm<sup>5</sup> implemented by C. B. Markwardt under the IDL<sup>6</sup> environment. In objects with conspicuous stellar absorption features that cannot be accounted for by our minimization routine, we checked our results against the line-width and flux measurements obtained with the GANDALF software<sup>7</sup> of Sarzi et al. (2006), adopting either very young (300 Myr) or old (10 Gyr) stellar population templates. In most cases, the measurements agreed within the errors, except for IC 342 and NGC 7331 where the H $\alpha$  absorption line is particularly prominent. For these galaxies, we adopted the GANDALF values. Finally, in defining our detection thresholds we compared the amplitude (A) of the best-fitting line profile to the noise level (N) in the residuals of the continuum fit, adopting as detected only those emission lines for which the A/Nratio was larger than 3. Figure 1 shows a few sample spectra illustrating the various fitting strategies explained above. A more detailed description of our emission-line measurements for each of our sample galaxy will be presented with our spectral atlas.

In 14 galaxies, the nebular emission was too faint for it to be detected given the quality of the corresponding spectra, and were consequently dropped from our sample. Three further galaxies had also to be discarded because their line profile could not be well represented as a simple sum of Gaussian components. Finally, two galaxies were rejected because the radial profile of the flux of the [N II] lines was strongly asymmetric and not suitable for modeling. Table 1 lists the final sample of galaxies analyzed in this paper, which comprises 105 galaxies of which 28 (27%) are classified as ellipticals, 20 (19%) are lenticulars, and 57 (54%) are spirals. The central velocity dispersion of the ionized-gas component and the size of the aperture we measured are also given in Table 1. Prior to modeling, the instrumental resolution corresponding to the adopted apertures (17 km s<sup>-1</sup> and 32 km s<sup>-1</sup> for the 0<sup>''</sup>.1 and 0<sup>''</sup>.2 slit widths, respectively) was subtracted in quadrature from the observed line-width values to obtain the intrinsic gas velocity dispersion.

Table 2 lists the 74 rejected galaxies.

## 2.3. Modeling the Central Line Width

Assuming that the width of the nuclear emission traces the depth of the gravitational well, we can derive stringent upper

<sup>&</sup>lt;sup>5</sup> The updated version of this code is available at

http://cow.physics.wisc.edu/~craigm/idl/idl.html.

<sup>&</sup>lt;sup>6</sup> Interactive Data Language is distributed by Research System Inc.

<sup>&</sup>lt;sup>7</sup> The updated version of this code is available at http://www.strw.leidenuniv.nl/sauron/software.html.

<sup>(</sup>A color version of this figure is available in the online journal.)

 Table 2

 Properties of the Galaxies Rejected From the Main Sample

Galaxy	Morp. T.	Prop.	Rej.
	(RC3)		
IC 5096	Sbc sp	9046	ns
NGC 0134	SABbc(s)	8228	ns
NGC 0157	SABbc(rs)	8228	ns
NGC 0255	SABbc(rs)	8228	ns
NGC 0255	SABb(rs)	7361	115
NGC 0278	SAB0(IS)	7501 8660	:
NGC 0520		8009	1
NGC 1097	SBb(s)	9782	ds
NGC 1255	SABbc(rs)	8228	n
NGC 1300	SBbc(rs)	8228	р
NGC 1832	SBbc(r)	8228	ns
NGC 2623	pec	8669	i
NGC 2654	SBab sp:	9046	s
NGC 2892	E <sup>+</sup> pec:	8236	s
NGC 2976	SAc pec	8591	s
NGC 3003	Sbc?	8228	s
NGC 3049	SBab(rs)	7513	s
NGC 3067	SABab(s)	8596	f
NGC 3162	SABbc(rs)	8228	r
NGC 3254	SAbc(s)	8228	n
NGC 3254		8660	;
NGC 3250	$S \land Pha(ra)$	8009	1
NGC 3239	SABUC(IS)	0220	5 £
NGC 3379	El	8589	I
NGC 3403	SAbc:	8228	ns
NGC 3489	$SAB0^{+}(rs)$	7361	р
NGC 3516	(R)SB0(s)	8055	d
NGC 3521	SABbc(rs)	8228	ns
NGC 3684	SAbc(rs)	8228	ns
NGC 3686	SBbc(s)	8228	s
NGC 3705	SABab(r)	8607	n
NGC 3756	SABbc(rs)	8228	ns
NGC 3887	SBbc(r)	8228	s
NGC 3917	SAcd:	8607	ns
NGC 3921	$(\mathbf{R}')\mathbf{SA0/a(rs)}$ pec	8669	i
NGC 3949	SAbc(s)	8228	ne
NGC 3972	SAbc(s)	8228	ne
NGC 4020	SAbc(s)	8228	115
NGC 4030	SAUC(S)	8228	1
NGC 4038	SBm(s) pec	8009	1S
NGC 4039	SBm(s) pec	8009	18
NGC 4051	SABbc(rs)	8228	r
NGC 4100	(R')SAbc(rs)	8228	s
NGC 4138	SA0 <sup>+</sup> (rs)	1039	n
NGC 4303	SABbc(rs)	8228	р
NGC 4343	SAb(rs)	9068	S
NGC 4380	SAb(rs):?	7361	n
NGC 4389	SBbc(rs)	8228	ns
NGC 4414	SAc(rc)?	8607	n
NGC 4420	SBbc(r):	8228	s
NGC 4527	SABbc(s)	8607/8228	fn
NGC 4536	SABbc(rs)	8228	r
NGC 4569	SABab(rs)	8607	r
NGC 4676A	S0  page  2  (Irr)	8660	1
NGC 4676A	$E^{\pm 1}$ mas	8600	5
NGC 4090		8090	п
NGC 5054	SADC(S)	8228	s
NGC 5055	SAbc(rs)	/361/8228	n
NGC 5135	SBab(s)	9143	r
NGC 5141	SO	8236	S
NGC 5247	SAbc(s)	8228	ns
NGC 5364	SAbc(rs) pec	8228	n
NGC 5398	(R')SBdm(s): pec	7513	s
NGC 5577	SAbc(rs)	8228	ns
NGC 5635	S pec	7354	s
NGC 5713	SABbc(rs)	8228	s
NGC 5746	SABb(rs) sp:	9046	n
NGC 5905	SBb(r)	9177	 d
	~ = ~ (* )		

Table 2 (Continued)

Galaxy	Morp. T.	Prop.	Rej.
	(RC3)		
NGC 5921	SBbc(r)	8228	s
NGC 6384	SABbc(r)	8228	n
NGC 6503	SAcd(s)	8607	n
NGC 6621	Sb: pec	8669	s
NGC 7252	$(R)SA0^{0}$ :	8669	i
NGC 7314	SABbc(rs)	8228	pr
NGC 7592	S0 <sup>+</sup> pec:	8669	s
UGC 10814	Scd:	9782	ds

Notes.

Column (1): galaxy name. Column (2): morphological type from RC3. Column (3): *HST* proposal number under which was obtained the STIS/G750M spectrum. Column (4): reason of rejection, where d = problem in deblending the emission lines, i = interacting galaxy, f = irregular or strongly asymmetric radial profile of the flux of the [N II] emission line. n = faint or absent emission lines, p = double-peaked emission lines, r = unsuccessful two-dimensional rectification of the spectrum, s = no stellar velocity dispersion available in literature.

bounds on the mass of the SMBHs in our sample galaxies thanks to the exquisite spatial resolution of *HST*. Although the stellar contribution to the gravitational potential could affect such estimates, the fundamental reason for which a lower limit on  $M_{\bullet}$  cannot be set from such a simple measurement is that the observed line-broadening may, in principle, be entirely due to additional contributions such as nongravitational forces (e.g., gas pressure or magnetic forces).

In this study, we follow the procedure described in Sarzi et al. (2002), where a detailed description of the method can be found. In short, we assume that the observed line-broadening arises from the motion of ionized gas in a coplanar thin inner disk of unknown inclination, where the gas moves in circular and Keplerian orbits around the putative SMBHs. For a given radial profile of the nebular emission, perfectly edge-on disks lead to the broadest lines and therefore to a lower estimate of  $M_{\bullet}$ . Conversely, the  $M_{\bullet}$  value needed to explain the observed line width diverges to infinity as we approach perfectly faceon configurations. Fortunately, such extreme orientations are statistically rare. Since randomly oriented disks have uniformly distributed  $\cos i$ , it is possible to derive  $1\sigma$  upper and lower limits on  $M_{\bullet}$  by simply considering models with nearly edge-on  $(i = 81^\circ, \cos i = 0.16)$  and face-on  $(i = 33^\circ, \cos i = 0.84)$ orientations, respectively, comprising 68% of the distribution of  $M_{\bullet}$  values that can explain a given line width (e.g., Sarzi et al. 2002).

In our models, we could disregard the effect on the unknown position angle of the disk since we extracted our spectra in nearly square apertures, and thus assumed that the STIS slit was placed along the disk major axis.

Clearly, for a given disk orientation, the concentration of the gas tracer impacts heavily on the  $M_{\bullet}$  value needed to explain a given line width, to the point that no lower limit on  $M_{\bullet}$  can be set when the gas profile is unresolved. This is why the intrinsic emissivity distribution of the gaseous disk has to be constrained from the data. As in Sarzi et al. (2002), we assumed an intrinsically Gaussian flux profile centered on the stellar nucleus, which makes it easier to match the observed flux profile while accounting for instrumental effects. The choice of a Gaussian parametrization is also conservative, since cuspier functions would have led us to estimate smaller  $M_{\bullet}$ . For instance, adopting an exponential profile for the subsample of objects

studied also by Sarzi et al. (2002) leads on average to a 10% decrease for the  $M_{\bullet}$  estimates.

In this work, we disregarded the contribution of the stellar potential, which would lead to tighter upper limits on  $M_{\bullet}$ . In principle, it is possible to estimate the stellar mass contribution by deprojecting the stellar surface brightness observed in the STIS acquisition images while assuming spherical symmetry and a constant mass-to-light ratio (Sarzi et al. 2002). In practice, however, this would only be feasible for a limited number of objects in our sample, given the limited quality of the acquisition images for most of our sample galaxies, and the pervasive presence of dust absorption features, particularly in spiral host galaxies. Still, the impact of the stellar potential is unlikely to change dramatically our  $M_{\bullet}$  estimates, particularly for the upper limits derived for nearly face-on configurations. For their sample of nearby galaxies (at 8-17 Mpc), Sarzi et al. (2002) found that including the stellar mass contribution reduced the median value of the  $M_{\bullet}$  upper limits by just ~ 12%. For the median distance of our sample (21.4 Mpc) the stellar mass contribution to our  $33^{\circ}$  upper limits would be ~ 15%. Similar considerations would apply to the  $M_{\bullet}$  sensitivity limit of our experiment. In the case of the Sarzi et al. (2002) sample, this value was found to be on average  $3.9 \times 10^6 M_{\odot}$ , which is well below most of the  $M_{\bullet}$ limits derived here and comparable to the smallest  $M_{\bullet}$  limits obtained for the closest objects in our sample.

To conclude, we note that the range spanned by the two  $M_{\bullet}$  values delivered by our Keplerian-disk model includes also  $M_{\bullet}$  that would be estimated under radically different assumptions. For instance, the gaseous disk model at  $i = 60^{\circ}$  is equivalent to that of an isotropic gas sphere in hydrostatic equilibrium (see Sarzi et al. 2002, for details). The  $M_{\bullet}$  estimates we derived for  $i = 33^{\circ}$  and  $81^{\circ}$  are listed in Table 1 for the sample galaxies. Although strictly speaking both values should be regarded as upper-limits, we will refer only to the  $33^{\circ}$  estimates as  $M_{\bullet}$  upper limits, hereafter.

## 3. RESULTS AND DISCUSSION

We have determined the  $1\sigma$  upper and lower confidence limits for the  $M_{\bullet}$  for randomly orientated disks for 105 galaxies with measurable spectra and stellar velocity dispersions available in the literature. For 20 galaxies of the sample, either  $M_{\bullet}$  measurements or upper limits based on resolved kinematics were available (Table 1). Figure 2 shows how such measurements compare with our  $M_{\bullet}$  limits, once our values are rescaled accordingly to the distances adopted in these studies. Our  $M_{\bullet}$  upper limits are consistent within  $1\sigma$  with such estimates, except for NGC 3031 and NGC 4261. Furthermore, no systematic offset appears when our upper limits are compared with similar upper bounds in the literature, rather than definite measurements. A particularly complex blend of narrow  $H\alpha + [N \text{ II}]$  and broad  $H\alpha$ lines may have biased our  $M_{\bullet}$  estimates in NGC 3031.

To place our  $M_{\bullet}$  limits on the various versions of the  $M_{\bullet-\sigma}$  relation, we applied the aperture correction of Jørgensen et al. (1995) to the literature values of stellar velocity dispersion in order to obtain the values  $\sigma_c$  and  $\sigma_e$  and that would have been measured within a circular aperture of radius  $r_e/8$  and  $r_e$ , respectively. The effective radii  $r_e$  of the spheroidal components of our sample galaxies were taken from various sources in the literature (Table 1) except for few disk galaxies for which  $r_e$  was obtained from our own photometric decomposition (following Méndez-Abreu et al. 2008) of the *K*-band images retrieved from the archive of the Two Micron All Sky Survey (hereafter 2MASS; Skrutskie et al. 2006).

In Figures 3 and 4, we compare our  $M_{\bullet}$  upper limits to the  $M_{\bullet}$ - $\sigma$  relation, as given by both Ferrarese & Ford (2005) and Lauer et al. (2007), initially to establish the validity of our method over a wide range of velocity dispersions. Our upper bounds show a well-defined trend with both  $\sigma_c$  and  $\sigma_e$ , running closely above the  $M_{\bullet} - \sigma_{\rm c}$  and  $M_{\bullet} - \sigma_{\rm e}$  relations. In the  $M_{\bullet} - \sigma_{\rm c}$  plane, a Spearman's rank coefficient of 0.9 suggests the presence of a correlation at  $9\sigma$  confidence level whereas a Pearson correlation coefficient of 0.8 supports a linear fit to the logaritmic data, which returns a slope of  $3.43 \pm 0.21$ . At first glance, such a value would imply a shallower trend than found by Ferrarese & Ford (2005) and a slope closer to that of the Lauer et al. (2007) relation, but we need to keep in mind that the derived slope could be significantly affected by just a few outliers. In particular, for a small value of  $\sigma$ , our  $M_{\bullet}$  upper-limits could be biased owning to a larger stellar contribution to the gravitational potential in small and distant galaxies. On the other hand, we found that our limits appear to parallel particularly well both versions of the  $M_{\bullet}$ - $\sigma$  relation for 90  $\leq \sigma_c, \sigma_e \leq 220 \text{ km s}^{-1}$ , whereas at lower and higher  $\sigma$  a substantial fraction of our  $M_{\bullet}$  limits lie either considerably above or almost on top of the  $M_{\bullet}$ - $\sigma$  relation, respectively.

In the following sections, we better quantify and interpret these first considerations.

## 3.1. Main Trend in the Sample

In the  $\sigma_c$  interval between 90 and 220 km s<sup>-1</sup>, our  $M_{\bullet}$  upper limits appear to correlate particularly well with  $\sigma_c$  paralleling the  $M_{\bullet}-\sigma_{\rm c}$  relation. In this  $\sigma_{\rm c}$  region, a value of 0.8 for the Spearman's rank correlation coefficient suggests the presence of a correlation at a 7- $\sigma$  confidence level, whereas a Pearson coefficient of 0.8 indicates that the logarithm values of our  $M_{\bullet}$ upper limits and  $\sigma_c$  are very likely to be linearly correlated. A linear fit in the  $\log \sigma_{\rm c} - \log M_{\bullet}$  plane delivers a best-fitting slope of 4.52  $\pm$  0.41 for our M<sub>•</sub> upper limits, compared to the  $4.86 \pm 0.43$  slope of the Ferrarese & Ford (2005) relation, with a scatter of 0.39 dex (Figure 5(a)). In the 90  $\leq \sigma_c \leq 220 \text{ km s}^{-1}$ interval, we have 66  $M_{\bullet}$  upper limits, which have a median 2.7 times higher than the expected  $M_{\bullet}$  value (Figure 5(c)). These upper limits can range from falling short of the expected  $M_{\bullet}$  values by a factor 3.7 to exceeding them by a factor 17.3, although 68% of them actually do not top the expected  $M_{\bullet}$  values by more than a factor 4.1 and fall immediately above the  $M_{\bullet}-\sigma_{c}$ relation. For comparison, by fitting our upper limits in the  $M_{\bullet}$ - $\sigma_{\rm e}$  plane we obtain a slope of 4.12  $\pm$  0.38, very close to the value of  $4.13 \pm 0.32$  found by Lauer et al. (2007). In fact, the parallel trend of our upper limits holds as far as  $\sigma_e \sim 300 \text{ km s}^{-1}$ , with a Spearman's rank coefficient of 0.8, a Pearson linear correlation coefficient of 0.8, and a linear slope of  $3.84 \pm 0.28$ .

Figure 3(a) shows that such a trend holds independent of galactic distance—objects as far away as 60 Mpc appear to run parallel to the  $M_{\bullet}$ - $\sigma_c$  relation. In particular, the objects at and below 20 Mpc are well distributed. In fact, if in this range of  $\sigma_c$  we perform separate linear regression for the three different populations of upper limits with D < 30 Mpc, 30 < D < 60 Mpc, and 60 < D < 100 Mpc, we find slope values that are consistent within the errors, namely of  $4.05 \pm 0.51$ ,  $3.51 \pm 1.01$ , and  $4.52 \pm 1.14$ , respectively. This finding shows that the observed nuclear line widths do not simply trace an increasingly larger subtended stellar mass, as galaxies with progressively larger distances. Instead, the fact that our upper



Figure 2. Comparison between our  $M_{\bullet}$  upper limits and accurate measurements of  $M_{\bullet}$  based on the resolved kinematics of gas (filled circles), stars (open circles), and water masers (open square) available in the literature. Leftward arrows indicate an upper constrain rather than a definite value for  $M_{\bullet}$ . The upper and lower edges of the dotted lines correspond to the  $M_{\bullet}$  values that we estimated assuming an inclination of  $i = 33^{\circ}$  and  $81^{\circ}$  for the unresolved Keplerian disk, respectively.

limits scale in the same way with  $\sigma_c$  as precisely measured  $M_{\bullet}$  determinations indicates that the nuclear emission we measured arises predominantly in regions of the gravitational potential that are dominated by the influence of the central SMBHs.

This is not completely unexpected given that a number of HST observations revealed that the narrow-line regions of active nuclei appear to be quite concentrated with scales less than 50 pc, much more so than the underlying stellar density profile (see, e.g., Ho 2008). Most recently, Walsh et al. (2008) have mapped the behavior of the narrow-line region for galaxies observed with multiple-slit STIS observations. They found that all galaxies of their sample exhibit a centrally peaked surface brightness profile, with the majority of them further showing a marked gradient of the emission-line widths within the sphere of influence of the central SMBH. The high degree of concentration of the gaseous tracer of the gravitational potential is what allows us to closely trace the presence of the SMBH even in objects where formally its sphere of influence is not resolved. This is similar to the case of the stellar dynamical estimates of  $M_{\bullet}$  for M32, which have not significantly changed when moving from ground- to space-based observations (e.g., Kormendy 2004, and references therein) due to the exceptional concentration of its stellar light profile. Actually, that fact that our upper limits run so closely to the  $M_{\bullet}-\sigma_{\rm c}$  relations also suggests that nongravitational forces do not generally contribute much to the observed line widths (unless for some reason their importance scales with  $\sigma_c$ ), although their role cannot be firmly excluded on a single-case basis.

Figure 3(b) also shows that in the  $\sigma_c = 90-220$  km s<sup>-1</sup> range, the upper limits derived in galaxies of different Hubble types lie neither closer nor further away from the  $M_{\bullet}-\sigma_c$  relation, although only a relatively small number of elliptical galaxies fall in this  $\sigma_c$  interval. Similarly, even though only 38% of the spiral and lenticular galaxies in this  $\sigma_c$  region are unbarred, we do not notice any systematic trend with the presence of a bar, unlike what was found by Graham (2008).

Since our upper limits appear to trace quite closely the expected values for  $M_{\bullet}$ , we can take advantage of the significant number of galaxies in our sample to understand whether the objects that within the present  $\sigma_c$  range appear to show remarkably large or small upper bounds are in fact exceptional. Assuming that our  $1\sigma$  limits bracket symmetrically the expected values of  $M_{\bullet}$  and that our upper bounds lie 2.7 times above the  $M_{\bullet}-\sigma_{\rm c}$  relation, with the aid of a Monte Carlo simulation we found that 16% of our  $M_{\bullet}$  upper limits should lie above the  $M_{\bullet}-\sigma_{\rm c}$  relation by more than three times its scatter (adopting 0.34 dex by Ferrarese & Ford 2005), while 8% of them should lie below it by more than its scatter. As Figure 3 shows, only four out of 66 objects in the  $\sigma_c = 90-220$  km s<sup>-1</sup> range fall that far above the  $M_{\bullet}-\sigma_{\rm c}$  relation, with an equal number falling below it by more than its scatter. Both sets of objects correspond to 6% of the galaxies in the considered  $\sigma_c$  range.

The previous considerations strongly argue against the presence of exceedingly large  $M_{\bullet}$  (i.e., above the  $M_{\bullet}-\sigma_{c}$  relation by more than three times its scatter) in nearby galactic nuclei, and further suggest that galaxies with considerably smaller  $M_{\bullet}$ -



**Figure 3.** Comparison between our  $M_{\bullet}$  upper limits and  $M_{\bullet}-\sigma_{c}$  relation by Ferrarese & Ford (2005) (thick line) as a function of galaxy distance (a) and morphological type (b). The upper and lower edges of the dotted lines correspond to  $M_{\bullet}$  values estimated assuming an inclination of  $i = 33^{\circ}$  and  $81^{\circ}$  for the unresolved Keplerian disk, respectively. Large circles mark galaxies with  $\sigma_{c} < 90 \text{ km s}^{-1}$  that host a nuclear star cluster. The dashed lines show the  $1\sigma$  (0.34 dex) scatter in  $M_{\bullet}$ . Additionally, to follow the discussion in Sections 3.1 and 3.2, the dot-dashed line shows the  $3\sigma$  (1.02 dex) scatter above the  $M_{\bullet}-\sigma$  relation whereas the open circles point to objects where a nuclear cluster is present.

(A color version of this figure is available in the online journal.)

budgets (i.e., below the  $M_{\bullet} - \sigma_c$  relation by more than its scatter) may be particularly rare. The presence of undermassive SMBHs in field galaxies has been suggested for instance by Vittorini et al. (2005), who argued that in a low galactic-density environment the  $M_{\bullet}$  growth may be hampered by the lack of gaseous fuel. A population of undermassive SMBH was also discovered by Volonteri (2007) in her simulations of the last stages of black hole mergers, when the binary experiences a recoil due to asymmetric emission of gravitational radiation. According to Figure 4 of Volonteri (2007), up to 25% of the galaxies with  $90 \le \sigma_c \le 220 \text{ km s}^{-1}$  could contain undermassive SMBHs, with less than 10% with the expected  $M_{\bullet}$ . Unfortunately, only a handful of objects in our sample are massive and close enough (e.g., for  $\sigma_{\rm vrmc} > 150 \,\rm km \, s^{-1}$  and D < 20 Mpc) to allow us to probe such a low  $M_{\bullet}$  regime, where our simulations indicate that we should expect only  $\sim 1\%$  of our upper limits. Furthermore, although the wide range of Hubble types and of values for  $\sigma_c$  spanned by our sample galaxies suggest these are fairly representative of the general properties of the



**Figure 4.** Same as Figure 3 but now showing the comparison between our  $M_{\bullet}$  upper limits and the  $M_{\bullet}$ - $\sigma_{\rm e}$  relation of Lauer et al. (2007). (A color version of this figure is available in the online journal.)

nearby population, our sample is almost certainly incomplete, particularly as we probe the low-end of the luminosity function where most galaxies are found. Our constraints should therefore be regarded with caution.

# 3.2. The Lower End of the $M_{\bullet}$ - $\sigma_{c}$ Relation

At small  $\sigma_c$  (< 90 km s<sup>-1</sup>), half of our upper limits systematically exceed the expected  $M_{\bullet}$  values by three times the scatter of the  $M_{\bullet}$ - $\sigma_c$  relation. They are on average larger by more than a factor 40 (Figure 5(d)), consistent with previous works on much more smaller samples (Sarzi et al. 2002; Sarzi 2004; Verdoes Kleijn et al. 2006). They are hosted by NGC 3021, NGC 4245, NGC 5347, NGC 5427, NGC 5879, and UGC 1395, which are late-type spirals with different degrees of nuclear activity, as measured by Ho et al. (1997a, see Table 1).

We have considered different possibilities related to the measurement and modeling of the  $[N \amalg] \lambda 6583$  emission line to explain the high values of  $M_{\bullet}$  found in these six objects. For instance, the presence of broad or asymmetric components in our spectra could affect the width of the narrow component of the  $[N \amalg]$  lines that we measured and consequently the  $M_{\bullet}$  upper limits giving larger masses. A similar bias would be introduced if the extent of the flux profile were to be systematically overestimated. Blue asymmetries are observed in the top outliers NGC 5347 and NGC 5427, which are also part of



**Figure 5.** Upper panel: (*a*) comparison between the linear fit to the  $M_{\bullet}$  upper limits in the range  $90 \leq \sigma_c \leq 220 \text{ km s}^{-1}$  (thick line) and the  $M_{\bullet}$ - $\sigma_c$  relation by Ferrarese & Ford (2005, thin line). Our linear fit to the  $M_{\bullet}$  upper limits in the  $90 \leq \sigma_c \leq 220 \text{ km s}^{-1}$  range (shown by the vertical dashed lines) delivers a best-fitting slope of  $4.52 \pm 0.41$ . Lower panels: distribution of the ratios between the measured upper limits and the values of  $M_{\bullet}$  expected from the  $M_{\bullet}$ - $\sigma_c$  relation by Ferrarese & Ford (2005) for (*b*) all the sample galaxies, (*c*) the galaxies with  $90 \leq \sigma_c \leq 220 \text{ km s}^{-1}$ , (*d*) with  $\sigma_c > 90 \text{ km s}^{-1}$ , and (*e*) with  $\sigma_c > 220 \text{ km s}^{-1}$ . The median of each distribution is marked by an arrow.

the sample of active galactic nuclei studied by Rice et al. (2006), who investigated the resolved kinematics of their narrow-line region with STIS spectra and first reported the presence of blue wings in the [S II]  $\lambda\lambda$ 6716, 6731 lines. In our fits, however, the contribution of such additional features was isolated using double Gaussian profiles. As regards the flux profile of the [N II] doublet of the small- $\sigma_c$  outliers, these are not systematically shallower than the other galaxies following the  $M_{\bullet}$ - $\sigma_c$  relation in the same  $\sigma_c$  range. Therefore, the  $M_{\bullet}$  upper limits that we have calculated are not biased by either of these effects.

To explain the largest  $M_{\bullet}$  upper limits found at low  $\sigma_c$  values, we also considered the impact of the presence of a nuclear star cluster (NC), and in general that of a larger stellar contribution due to a greater distance. NCs are massive stellar clusters coincident with the galaxy photocenter (Côté et al. 2006) that are found in about 75% of late-type spiral galaxies (Böker et al. 2002). Their mean effective radius is ~ 3.5 pc (Böker et al. 2004), small enough for them to be completely enclosed within the central aperture of our spectra. Ferrarese et al. (2006) found a different  $M_{\bullet}-\sigma_c$  relation for NCs, with similar slope but a 865

normalization that is larger by roughly an order of magnitude than the one found for SMBHs. The presence of NCs in our low- $\sigma_c$  outliers could therefore explain why they show such high central mass concentrations as indicated by their high  $M_{\bullet}$ values. To assess the incidence of NCs in the sample galaxies with  $\sigma_c < 90 \text{ km s}^{-1}$ , we analyzed their surface brightness radial profile obtained with the IRAF task ELLIPSE on the STIS acquisition images. For half of the low- $\sigma_c$  outliers, we could recognize the presence of a NC (NGC 3021, NGC 4245, and NGC 5879). On the other hand, we could identify a NC only in one (NGC 4212) of the six galaxies (17%) which run close to the  $M_{\bullet}$ - $\sigma_{c}$  relation (IC 342, NGC 2685, NGC 2748, NGC 3982, NGC 4212, and NGC 5194). The presence of a NC in the galaxies at the low- $\sigma_c$  end of our sample is shown in Figure 3, and in the case of NGC 3021 and and NGC 5879 it was already known (see Scarlata et al. 2004; Seth et al. 2008, respectively). If our limits indeed trace the dynamical signature of a NC in these nuclei, better data and more detailed modelling (e.g., Barth et al. 2009) would be required to disentagle the contribution of the NC and SMBH to the total mass budget. As regards the distance of the low- $\sigma_c$  outliers, although we can only rely on distances inferred from their recessional velocities, it is significant that half of them (NGC 5347, NGC 5427, and UGC 1395) are found beyond 30 Mpc, whereas all the other low- $\sigma_{\rm c}$  galaxies are significantly closer, including those for which our  $M_{\bullet}$  upper limits lie well within three times the scatter of  $M_{\bullet} - \sigma_{\rm c}$  relation.

These findings suggest that part, if not all, of the exceedingly large  $M_{\bullet}$  values that we found at the low- $\sigma_c$  end of the  $M_{\bullet}-\sigma_c$  relation could be ascribed to a more significant stellar contribution to the gravitational potential. This is either because of the presence of a nuclear stellar cluster (in NGC 3021, NGC 4245, and NGC 5879) or due to a larger galactic distance (for NGC 5347, NGC 5427, and UGC 1395) than otherwise required to trace the  $M_{\bullet}-\sigma_c$  relation at these  $\sigma_c$  regimes. Therefore, we presently do not need to invoke either nongravitational forces (see Sarzi et al. 2002) or a population of more massive SMBHs (see Greene & Ho 2006) to explain the observed flattening of the  $M_{\bullet}-\sigma_c$  relation at low  $M_{\bullet}$  values.

# 3.3. The Upper End of the $M_{\bullet}$ - $\sigma_{c}$ Relation

At high  $\sigma_c$  (> 220 km s<sup>-1</sup>), our  $M_{\bullet}$  upper limits nicely bracket the  $M_{\bullet}$ - $\sigma_c$  relation (Figure 5(e)) and most of them are consistent with its scatter (Figure 3). In fact, only four objects (15%; NGC 2911, NGC 4552, NGC 4594, and NGC 5077) fall above the  $M_{\bullet}$ - $\sigma_c$  relation by more than its scatter, with the same number of galaxies falling as far below the  $M_{\bullet}$ - $\sigma_c$  relation (NGC 3998, NGC 4278, NGC 6861, and UGC 1841). These outliers do not stand out from the rest of the objects with  $\sigma_c$  > 220 km s<sup>-1</sup> for any obvious property such as morphology, nuclear activity, or distance. This behavior is suggestive of an actual flattening of the high-mass end of the  $M_{\bullet}$ - $\sigma_c$  relation, particularly considering that in the most massive and radio-loud galaxies the ionized-gas velocity dispersion can show a significant excess over a purely gravitational model (e.g., Verdoes Kleijn et al. 2006)<sup>8</sup>.

The flattening at high- $\sigma$  values is less evident when our upper limits are compared to the shallower  $M_{\bullet}$ - $\sigma_{\rm e}$  relation of Lauer et al. (2007), but is nonetheless present upon closer inspection. In particular, excluding objects with  $\sigma_{\rm e} < 90$  km s<sup>-1</sup> where the

<sup>&</sup>lt;sup>8</sup> In fact, for the two radio-loud galaxies in our sample that were also studied by Verdoes Kleijn et al. (NGC 383 and UGC 7115) the derived  $M_{\bullet}$  upper limits lie above the  $M_{\bullet}$ - $\sigma_{c}$  relation.

impact of the stellar potential on our  $M_{\bullet}$  estimates could be more important, we found a systematic flattening in the main trend of our upper limits as the high- $\sigma_e$  end of the  $M_{\bullet}$ - $\sigma_e$  plane is progressively populated. Specifically, whereas a linear fit to objects with  $\sigma_e = 90-220$  km s<sup>-1</sup> yields a slope of 4.12  $\pm$  0.38 (Section 3.3), extending this range to 280 km s<sup>-1</sup>, 340 km s<sup>-1</sup> and up to the maximum  $\sigma_e$  value in our sample of 386 km s<sup>-1</sup> results in best-fitting values of 3.86  $\pm$  0.29, 3.78  $\pm$  0.27 and 3.56  $\pm$  0.26, respectively.

This finding would be in agreement with the predictions of semianalytic models for the coevolution of SMBHs and galaxies at the highest end of the mass spectrum, whereby galaxies and SMBHs grow mainly via gas-poor, dry mergers (Schawinski et al. 2006). Yet, the behavior of  $M_{\bullet}-\sigma_{\rm c}$  relation in this regime is still under debate. In particular, the limited number of galaxies with reliable  $M_{\bullet}$  measurement in the range  $M_{\bullet} > 10^9 M_{\odot}$  are actually consistent with a steepening of the  $M_{\bullet}$ - $\sigma_{c}$  relation (e.g., Wyithe 2006; Dalla Bontà et al. 2009). Furthermore, the cutoff at  $\sigma_{\rm c} \sim 400 \ {\rm km \ s^{-1}}$  of the local velocity dispersion function (Seth et al. 2008) implies either that SMBHs with  $M_{\bullet} > 3 \times 10^9 M_{\odot}$  are extremely rare or that if they exist their host galaxies should lie considerably above the present  $M_{\bullet} - \sigma_{c}$  relation. In fact, at these regimes, Lauer et al. (2007) argue that the stellar luminosity L is better suited than  $\sigma_c$  to trace  $M_{\bullet}$ . The  $M_{\bullet}$ - $\sigma_c$  relation should steepen at its high- $\sigma_c$  end if Lauer et al. arguments are correct, since the observed  $\sigma_c$  saturates for the most massive of ellipticals while considering increasingly large values of L.

Although our results suggest a flattening of the  $M_{\bullet}-\sigma_{c}$ relation, we need to keep in mind that systematic effects related to the measurement of the bulge properties may be significant at the high- $\sigma_c$  end of the  $M_{\bullet}$ - $\sigma_c$  plane. In particular, the aperture correction for the stellar velocity dispersion may be both more important and more uncertain for the most massive of ellipticals than for smaller elliptical and lenticular galaxies. Indeed, giant ellipticals tend to have shallower central surface brightness profiles than their less massive counterparts, which makes the aperture correction more sensitive to the quality and spatial coverage of the stellar kinematics and to uncertainties on the value of the galaxy effective radius,  $r_{\rm e}$ . Incidentally, measurements on  $r_{\rm e}$  are also generally less accurate for giant ellipticals, due the presence of extended stellar halos. Ideally, rather than  $\sigma_c$  one would like to have a quantity that is more closely connected to the stellar mass, such as the total Kband luminosity, which is also known to relate to  $M_{\bullet}$  (Marconi & Hunt 2003), or a *direct* measurement of  $\sigma_e$ . Obtaining the K-band luminosity of our sample galaxies would require much deeper images than the available 2MASS data, whereas properly measuring  $\sigma_{\rm e}$  requires integral-field observations, such as those derived in the case of the SAURON survey (Emsellem et al. 2007).

## 3.4. Summary

Owing to the exquisite spatial resolution of *HST* and to the concentrated character of the ionized-gas emission in lowluminosity AGNs, we have been able to set tight upper limits on  $M_{\bullet}$  for a sample of 105 nearby galaxies (D < 100 Mpc) using STIS/G750M spectra. This sample spans a wide range of Hubble types (with 54% of spirals) and includes objects with published values for their central stellar velocity dispersion  $\sigma_c$ . Our main findings are:

1. Independent of the galaxy distance, our  $M_{\bullet}$  upper limits run parallel and above the  $M_{\bullet}-\sigma_{\rm c}$  relation, particularly for values of  $\sigma_{\rm c}$  between 90 and 220 km s<sup>-1</sup>. The median of the 66  $M_{\bullet}$  upper limits in this  $\sigma_c$  regime exceeds the expected  $M_{\bullet}$  value by a factor 2.7, with 68% of our upper limits falling immediately above the  $M_{\bullet}$ - $\sigma_c$  relation and without exceeding the expected  $M_{\bullet}$  values by more than a factor 4.1.

- 2. That our nebular line-width measurements trace rather well the nuclear gravitational potential, makes large samples of  $M_{\bullet}$  upper-limit measurements useful in constraining the frequency of objects with exceedingly low or high values of  $M_{\bullet}$  and in probing the black hole mass budget across the entire Hubble sequence.
- 3. No systematic trends or offsets are observed in this  $\sigma_c$  range as a function of the galaxy Hubble type, or with respect to the presence of a bar. Furthermore, no evidence was found to suggest that the largest or smallest  $M_{\bullet}$  upper limit in the  $\sigma_c$  range between 90 and 220 km s<sup>-1</sup> was actually bracketing exceptionally high or low values of  $M_{\bullet}$ . Thus, galaxies with exceedingly high  $M_{\bullet}$  budgets must be very rare.
- 4. For  $\sigma_c$  values below 90 km s<sup>-1</sup>, half of our  $M_{\bullet}$  upper limits systematically exceed the expected  $M_{\bullet}$  values by more than a factor 40, consistent with previous work on much smaller samples. The line-width measurements for such low- $\sigma_c$  outliers are most likely affected by the stellar contribution to the gravitational potential, either due to the presence of a nuclear stellar cluster or because of a greater distance compared to the other galaxies at the low- $\sigma_c$  end of the  $M_{\bullet}$ - $\sigma_c$  relation, for which our  $M_{\bullet}$  upper limits are closer to the expected  $M_{\bullet}$  values.
- 5. At the opposite  $\sigma_c$  end of the  $M_{\bullet}-\sigma_c$  relation, for values of  $\sigma_c$  above 220 km s<sup>-1</sup>, our  $M_{\bullet}$  upper bounds appear to lie much closer the expected  $M_{\bullet}$  in the most massive elliptical galaxies, even falling below the  $M_{\bullet}-\sigma_c$  relation. This flattening is less evident when our upper limits are compared with the shallower  $M_{\bullet}-\sigma_c$  relation by Lauer et al. (2007), but is nonetheless present upon closer inspection. In particular, excluding objects with  $\sigma_e < 90$  km s<sup>-1</sup>, we found a systematic flattening in the main trend of our upper limits as the high- $\sigma_e$  end of the  $M_{\bullet}-\sigma_e$  plane is progressively populated.

Although such a flattening of the  $M_{\bullet}-\sigma_c$  relations at its high- $\sigma_c$  end would appear consistent with models for the coevolution of supermassive black holes and galaxies driven by dry mergers, we caution that better and more consistent measurements for either the *K*-band luminosity or the integrated value of the stellar velocity dispersion  $\sigma_e$ within the bulge effective radius  $r_e$  (both better tracers of the bulge mass than  $\sigma_c$ ) are needed before systematic effects can be ruled out.

We acknowledge the anonymous referee for his/her many comments that improved our manuscript. We are indebted to J. Binney, S. Courteau, S. Khochfar, L. Morelli, K. Schawinski, and M. Stiavelli for many useful discussions and suggestions. We are also indebted to J. Walsh and her collaborators for sharing with us the results of their work prior to publication. We thank J. M. Abreu for the GASP2D package which we used for measuring the photometric parameters of some of the sample galaxies. A.B., E.M.C., E.D.B., A.P., and L.C. acknowledge the grant CPDA068415/06 by Padua University and the grant I/010/06/0 by ASI-INAF, which provided support for this research. AB is grateful to the University of Hertfordshire for its hospitality while this paper was in progress. This research has made use of the Lyon-Meudon Extragalactic Database (LEDA), NASA/IPAC Extragalactic Database (NED), and the Two-Micrometer All-Sky Survey (2MASS).

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